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FEB 24 1999

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/240,844	02/24/99	SMITH	J 1960.103

005514

0212/0222

FITZPATRICK CELLA HARPER & SCINTO  
30 ROCKEFELLER PLAZA  
NEW YORK NY 10112-3801

FILE NO. 1960.103  
NOT ASSIGNED  
ATTORNEY NP3  
FILE DATE 4/24/99  
2774  
DATE MAILED: DOCKETED 4/24/99  
02/22/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$85.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 620.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

- \$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Everett Williams*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

## CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Applicant(s): SMITH, Jeffrey A., et al

FAX RECEIVED

Docket No.

APR 27 1999

18464481US

Serial No.

09/240,844

Filing Date

02/01/99

Examiner

N/A

Group 1700

Group Art Unit

2774

Invention: USER INTERFACE METHOD AND SYSTEM FOR APPLICATION PROGRAMS IMPLEMENTED  
WITH COMPONENT ARCHITECTURES

Official

FAX RECEIVED

APR 22 1999

GROUP 1700

I hereby certify that this Notice to File Missing Parts and Change of Attorneys Address  
(Identify type of correspondence)is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. (703) 305-7718)on April 22, 1999  
(Date)Robert P. Stratton, Reg. No. 35,765

(Typed or Printed Name of Person Signing Certificate)

(Signature)

Note: Each paper must have its own certificate of mailing.

Re-sent - U.S. Notice to file missing  
parts of application form was  
missing from first  
transmission -

SEE confirmation sheet of  
first transmission attached.

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)****FAX RECEIVED**Docket No.  
18464481US

APR 27 1999

In Re Application Of: SMITH, Jeffrey A., et al

Group 2700

Serial No.	Filing Date	Examiner	Group Art Unit
09/240,844	02/01/99	N/A	2774

Invention: **USER INTERFACE METHOD AND SYSTEM FOR APPLICATION PROGRAMS IMPLEMENTED  
WITH COMPONENT ARCHITECTURES**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:****Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
02/22/99  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)****Docket No.  
T8464481US**In Re Application Of: **SMITH, Jeffrey A., et al**Serial No.  
09/240,844Filing Date  
02/01/99Examiner  
N/AGroup Art Unit  
2774Invention: **USER INTERFACE METHOD AND SYSTEM FOR APPLICATION PROGRAMS IMPLEMENTED  
WITH COMPONENT ARCHITECTURES****TO THE ASSISTANT COMMISSIONER FOR PATENTS:****Box Missing Parts**☒ Completion of application fees as calculated below:☐ Utility application filing fee \_\_\_\_\_☐ Design application filing fee \_\_\_\_\_☐ Total number of independent claims = \_\_\_\_\_☐ Total number of claims = \_\_\_\_\_☐ Multiple dependent claims \_\_\_\_\_☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**☐ Petition and fee for filing by other than all the inventors or a person not the invention \_\_\_\_\_☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_☐ Fee for processing and retention of application \_\_\_\_\_Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month☐ Two months☐ Three months☐ Four monthsfrom: \_\_\_\_\_  
Dateuntil: \_\_\_\_\_  
Date

Total time extension fees \_\_\_\_\_

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)****Docket No.  
T8464481US**In Re Application Of: **SMITH, Jeffrey A., et al****Serial No.  
09/240,844****Filing Date  
02/01/99****Examiner  
N/A****Group Art Unit  
2774****Invention: USER INTERFACE METHOD AND SYSTEM FOR APPLICATION PROGRAMS IMPLEMENTED  
WITH COMPONENT ARCHITECTURES****TO THE ASSISTANT COMMISSIONER FOR PATENTS:****Box Missing Parts**The fee of **\$130** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 07-1750  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

10/05/1999 EWIILLIAM 00000003 071750 09240844

01 FC	101	760.00 CH
02 FC	105	130.00 CH

  
\_\_\_\_\_  
*Signature*Dated: **April 22, 1999****Robert P. Stratton  
Reg. No. 35,765**

I certify that this document and fee is being deposited  
on \_\_\_\_\_ with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

*Signature of Person Mailing Correspondence**Typed or Printed Name of Person Mailing Correspondence*

cc:

**GOWLINGS****FAX RECEIVED**

APR 22 1999

**GROUP 1700****Official**

APR 27 1999

Group 1700

Commerce Court West  
Suite 4900  
Toronto, Ontario  
Canada, M5L 1J3  
Telephone (416) 862-7525  
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Robert P. Stratton  
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stratrob@gowlings.com

April 22, 1999

**Via Facsimile**

Commissioner of Patents and Trademarks  
United States Patent Office  
Washington, D.C. 20231

Dear Sir:

**Re: U.S. Patent Application No. 09/240,844**  
**Applicant: SMITH, Jeffrey A., et al**  
**Title: USER INTERFACE METHOD AND SYSTEM FOR APPLICATION PROGRAMS**  
**IMPLEMENTED WITH COMPONENT ARCHITECTURES**  
**Filed: February 1, 1999**  
**Our File: T8464481US**

Attached is a Response to Notice to File Missing Parts of Application - Filing Date Granted.

Please also direct all future correspondence to:

Robert P. Stratton  
Gowling, Strathy & Henderson  
Commerce Court West  
Suite 4900  
Toronto, Ontario  
CANADA M5L 1J3

Tel: (416) 862-3616

Fax: (416) 862-7661

Yours very truly,



Robert P. Stratton

RPS:etm

Encl.

IP Doc #: 133518-1

April 22, 1999